

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: PKT Technologies, LLC

Application No./Patent No.: 10/824,038 Filed/Issue Date: April 14, 2004

Entitled:

PKT Technologies, LLC a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

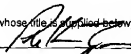
1. From: DONOVAN, Kevin Remington Joseph Barthlo To: Prodigy Communications Corporation
The document was recorded in the United States Patent and Trademark Office at
Reel 010218, Frame uncl, or for which a copy thereof is attached.
2. From: Prodigy Communications Corporation To: PKT Technologies, LLC
The document was recorded in the United States Patent and Trademark Office at
Reel 015351, Frame 0171, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

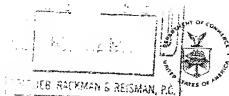
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

 September 25, 2007
Signature Date
Peter K. Trzyna (312)240-0824
Printed or Typed Name Telephone Number
Manager, PKT Technologies, LLC
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

NOVEMBER 09, 1999

PTAS

GOTTLIEB, RACKMAN & REISMAN, P.C.
TIBERIU WEISZ
270 MADISON AVENUE, 8TH FLOOR
NEW YORK, NY 10016



UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/30/1999

REEL/FRAME: 010218/0632
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

DONOVAN, KEVIN REMINGTON JOSEPH
BARTHOLOMEW

DOC DATE: 08/25/1999

ASSIGNEE:

PRODIGY COMMUNICATIONS CORPORATION
44 S. BROADWAY
WHITE PLAINS, NEW YORK 10601

SERIAL NUMBER: 09385802
PATENT NUMBER:

FILING DATE: 08/30/1999
ISSUE DATE:

SHAREILL COLES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

9-10-1999



101141554

OVER, EET
VLYU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks, record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Kevin Remington Joseph Bartholomew Donovan

mcd 8-30-99

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: August 25, 1999

2. Name and address of receiving party(ies)

Name: Prodigy Communications Corporation

Internal Address: _____

Street Address: 44 S. Broadway

City: White Plains State: NY ZIP: 10601

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: August 25, 1999

A. Patent Application No.(s)

09/08/1999 SP0015 00000072 071730 09385802
FC:581 21.00 CH 19.00 DP

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Tiberiu Weisz

Internal Address: _____

GOTTLIEB, RACKMAN & REISMAN, P.C.

Street Address: 270 Madison Avenue

8th Floor

City: New York State: NY ZIP: 10016

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number: _____

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Tiberiu Weisz

Name of Person Signing

Signature

August 30, 1999

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments

Assignment of Executed Patent Application

Assignor: Kevin Remington Joseph Bartholomew Donovan
Address: 260 Church Street, #3C1
White Plains, New York 10603

Assignor:
Address:

Assignor:
Address:

Assignor:
Address:

Assignee: Prodigy Communications Corporation
Address: 44 S. Broadway
White Plains, NY 10601

If there is more than one Assignor or Assignee, the words "Assignor" and "Assignee" shall include them.

This assignment relates to a United States patent application signed by Assignor on even date hereof and entitled

UNIVERSAL INSTANT MESSAGING SYSTEM FOR THE INTERNET

This patent application is called "the Patent Application".

Assignor has received Ten Dollars (\$10.00) and other good and valuable consideration for this assignment.

Assignor assigns to Assignee all of Assignor's right, title and interest in the Patent Application, the invention described and claimed in the Patent Application, and all patents that may issue based on the invention and on the Patent Application in the United States *and in every foreign country*. *Assignor also assigns to Assignee all priority rights in the Patent Application.*

*

*In those countries where permitted, the Assignor authorizes the Assignee to apply for patents for the invention directly in Assignee's name.** Assignor authorizes the Commissioner of Patents and Trademarks or other governmental authority to issue all patents for the invention directly to Assignee.

Assignor states that Assignor has the right to grant to Assignee the rights which are assigned by this assignment. Assignor will sign any additional documents as may be needed to carry out the purpose of this assignment.

This assignment is binding on all parties who lawfully succeed to the rights of or take the place of Assignor or Assignee.

The undersigned hereby grant(s) to the firm of Gottlieb, Rackman & Reisman, 270 Madison Avenue, New York, New York 10016-0601, the power to insert on this assignment any further identification of the Patent Application which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office concerning recordation of this document.

* Strike italicized sections *only if foreign rights are not intended to be assigned.*

the effective date of this assignment is the date at the top of this assignment.

SIGNATURE(S)

DATE

TYPED OR

PRINTED NAME(S)

Kevin Remington Joseph Bartholomew Donovan
First Name Middle Initial Last Name

8/25/1999

Eric S. Lambert

First Name Middle Initial Last Name

First Name Middle Initial Last Name

First Name Middle Initial Last Name

STATE OF New York

COUNTY OF Westchester

On Aug. 25, 1999 before me personally came
Kevin R. G. Donovan,

to me known to be the Assignor(s) described in and who
executed the foregoing Assignment, and the Assignor(s) duly
acknowledged to me execution of the same.

[Signature]

Notary Public

ERIC S. LAMBERT
Notary Public, State of New York
No. 02LAS056179
Qualified in Westchester County
Commission Expires Feb. 26, 2000

STATE OF

COUNTY OF

On _____ before me personally came

to me known to be the Assignor(s) described in and who
executed the foregoing Assignment, and the Assignor(s) duly
acknowledged to me execution of the same.

Notary Public

STATE OF

COUNTY OF

On _____ before me personally came

to me known to be the Assignor(s) described in and who
executed the foregoing Assignment, and the Assignor(s) duly
acknowledged to me execution of the same.

Notary Public

STATE OF

COUNTY OF

On _____ before me personally came

to me known to be the Assignor(s) described in and who
executed the foregoing Assignment, and the Assignor(s) duly
acknowledged to me execution of the same.

Notary Public



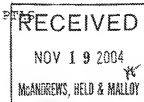
157190500

12

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOVEMBER 12, 2004

MCANDREWS, HELD & MALLOY
PETER J. MCANDREWS
500 W. MADISON ST.
34TH FLOOR
CHICAGO, IL 60661

102752165A

UNITED STATES PATENT AND TRADEMARK OFFICE
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/21/2004

REEL/FRAME: 015351/0171
NUMBER OF PAGES: 3BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 15719US00

ASSIGNOR:

PRODIGY COMMUNICATIONS CORPORATION DOC DATE: 05/05/2004

ASSIGNEE:

FKT TECHNOLOGIES, LLC
195 NORTH HARBOUR DRIVE
SUITE 5403
CHICAGO, ILLINOIS 60601

SERIAL NUMBER: 09385802

FILING DATE: 08/30/1999

PATENT NUMBER:

ISSUE DATE:

TITLE: UNIVERSAL INSTANT MESSAGING SYSTEM FOR THE INTERNET

ANTHONY ROYALL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

05-25-2004

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office
Docket 15719US00

Tab settings

To the Honorable Commissioner of

102752165

Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Prodigy Communications Corporation

5.21-04

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: PKT Technologies, LLC

Internal Address:

Street Address: 195 North Harbor Drive

Suite 5403

City: Chicago State: Illinois Zip: 60601

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: May 5, 2004

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No. (s)

09/385,802

B. Patent No. (s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Peter J. McAndrews

Internal Address: McAndrews, Held & Malloy

Street Address: 500 W. Madison St.

34th Floor

City: Chicago State: IL Zip: 60661

6. Total number of applications and patents involved: 0

7. Total fee (37 CFR 3.41) \$ 40

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

13-0017

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joseph M. Butcher

Name of Person Signing

Signature

May 18, 2004

Date

Total number of pages including cover sheet, attachments, and documents: 8

Mail documents to be recorded with required cover sheet information to:
Director United States Patent & Trademark Office, Mail Stop Assignment Recordation Services
P.O. Box 1450, Alexandria, VA 22313

05/24/2004 ECDPER 00000067 09385802

01 FC:0021

40.00 0P

ASSIGNMENT

WHEREAS, Prodigy Communications Corporation, a corporation organized and existing under the laws of the State of Delaware, having a place of business at 6500 Riverplace Blvd. Building III, Austin, TX 78730, hereinafter called the "Assignor", is the owner of the entire right, title and interest in and to United States Patent Application Ser. No. 09/385,802, entitled "Universal Instant Messaging System for the Internet" (the "Patent Application").

WHEREAS, PKT Technologies, LLC, a limited liability corporation organized and existing under the laws of the State of Delaware, having a place of business at 195 North Harbor Drive, Suite 5403, Chicago, Illinois, hereinafter called the "Assignee", desires to acquire the entire right, title and interest in and to the Patent Application, as set forth below:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which by the Assignor from the Assignee is hereby acknowledged, the Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer to the Assignee, the entire right, title and interest in the Patent Application, including (i) all claims for damages by reason of past, present or future infringements of the Patent Application and any resulting patents together with a right to sue for and collect such damages, and (ii) any amendments, continuations, continuations-in-part, re-issuances, reexaminations and other patents claiming priority therefrom in other jurisdictions; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for all such patents.

The Commissioner of Patents and Trademarks is hereby authorized and requested to record this assignment and to issue patents to the Assignee in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the Assignor has executed this agreement.

DATED: May 5, 2004

John S. Divine

STATE OF Texas)
COUNTY OF Texas) ss.

I, Maureen E. Bolender, a Notary Public in and for the County and State aforesaid, do hereby certify that John S. Divine, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument in his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this 5th day of May, 2004.

Maureen E. Bolender
Notary Public
(SEAL)

My Commission Expires: July 13, 2005

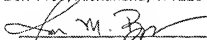


Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	09/385,802	
		Filing Date	August 30, 1999	
		First Named Inventor	Donovan	
		Group Art Unit	2755	
		Examiner Name	Flynn	
Total Number of Pages in This Submission		5	Attorney Docket Number	15719US00
ENCLOSURES (check all that apply)				
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO 1449/08A with references <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) (sheets) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD Number of CD(s) _____		
		<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (<i>Appeal Notice, Brief, Reply Brief</i>) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):		
Remarks				

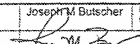
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited, with sufficient postage, with the United States Postal Service as first class mail in an envelope addressed to: Director United States Patent & Trademark Office, Mail Stop Assignment Recordation Services, P.O. Box 1450, Alexandria, VA 22313-1450, on May 18, 2004.



 Joseph M. Butscher
 Reg. No. 48,326

FEE TRANSMITTAL for FY 2004 Patent Fees are subject to annual revision.	Complete If Known	
	Application Number	09/385,802
	Filing Date	August 30, 1999
	First Named Inventor	Donovan
	Examiner Name	Flynn
	Group Art Unit	2755
TOTAL AMOUNT OF PAYMENT (\$40	Attorney Docket No.	15719US00

METHOD OF PAYMENT 1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: <div style="border: 1px solid black; padding: 5px; margin: 5px;"> Deposit Account Number: 13-0017 Deposit Account Name: McAndrews Held & Mailloy </div> <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		FEE CALCULATION (continued) 3. ADDITIONAL FEES																																																																																																																																																																																																																			
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		<table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Code</th> <th>Fee (\$)</th> <th>Small Entity Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>1051</td> <td>130</td> <td>2051</td> <td>85</td> <td></td> <td>Surcharge - late filing fee of oath</td> <td></td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052</td> <td>25</td> <td></td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>1053</td> <td>130</td> <td>1053</td> <td>130</td> <td></td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812</td> <td>2,520</td> <td></td> <td>For filing a request for an extra examination</td> <td></td> </tr> <tr> <td>1804</td> <td>920*</td> <td>1804</td> <td>920*</td> <td></td> <td>Requesting publication of SR prior to Examiner action</td> <td></td> </tr> <tr> <td>1805</td> <td>1,840*</td> <td>1805</td> <td>1,840*</td> <td></td> <td>Requesting publication of SR after Examiner action</td> <td></td> </tr> <tr> <td>1201</td> <td>110</td> <td>2251</td> <td>55</td> <td></td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>1202</td> <td>420</td> <td>2252</td> <td>210</td> <td></td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>1203</td> <td>650</td> <td>2253</td> <td>475</td> <td></td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>1204</td> <td>1,480</td> <td>2254</td> <td>740</td> <td></td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>1205</td> <td>2,010</td> <td>2255</td> <td>1,005</td> <td></td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>1401</td> <td>230</td> <td>2401</td> <td>155</td> <td></td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>1402</td> <td>230</td> <td>2402</td> <td>155</td> <td></td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>1403</td> <td>290</td> <td>2403</td> <td>145</td> <td></td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>1451</td> <td>1,510</td> <td>1451</td> <td>1510</td> <td></td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>1452</td> <td>110</td> <td>2452</td> <td>55</td> <td></td> <td>Petition to revive - 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WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2035.